



PTO/SB/08a/b (07-05)

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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known			
		Application Number	10/813871-Conf. #5569		
		Filing Date	March 31, 2004		
		First Named Inventor	Adam J. Ferrari		
		Art Unit	2161 2163		
		Examiner Name	S. Metjahic		
Sheet	1	of	3	Attorney Docket Number	0109878.00141US1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
HV	AA*	US-4,775,935	10-04-1988	Yourick	
	AB*	US-4,868,733	09-19-1989	Fujisawa et al.	
	AC*	US-5,241,671	08-31-1993	Reed et al.	
	AD*	US-5,418,717	05-23-1995	Su et al.	
	AE*	US-5,418,951	05-23-1995	Damashek	
	AF*	US-5,440,742	08-08-1995	Schwanke	
	AG*	US-5,485,621	01-16-1996	Schwanke	
	AH*	US-5,544,049	08-06-1996	Henderson et al.	
	AI*	US-5,546,576	08-13-1996	Cochrane et al.	
	AJ*	US-5,548,506	08-20-1996	Srinivasan	
	AK*	US-5,590,269	12-31-1996	Kruse et al.	
	AL*	US-5,600,829	02-04-1997	Tsatalos et al.	
	AM*	US-5,630,125	05-13-1997	Zellweger	
	AN*	US-5,634,128	05-27-1997	Messina	
	AO*	US-5,675,784	10-07-1997	Maxwell et al.	
	AP*	US-5,696,916	12-09-1997	Yamazaki et al.	
	AQ*	US-5,724,571	03-03-1998	Woods	
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	AS*	US-5,749,081	05-05-1998	Whiteis	
	AT*	US-5,764,975	06-09-1998	Taniguchi et al.	
	AU*	US-5,812,998	09-22-1998	Tsutsumi et al.	
	AV*	US-5,835,905	11-10-1998	Pirolli et al.	
	AW*	US-5,852,822	12-22-1998	Srinivasan et al.	
	AX*	US-5,864,845	01-26-1999	Voorhees et al.	
	AY*	US-5,864,846	01-26-1999	Voorhees et al.	
	AZ*	US-5,864,863	01-26-1999	Burrows	
	AA1*	US-5,870,746	02-09-1999	Knutson et al.	
	AB1*	US-5,873,075	02-16-1999	Cochrane et al.	
	AC1*	US-5,875,440	02-23-1999	Cooperman et al.	
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	AE1*	US-5,878,423	03-02-1999	Anderson et al.	
	AF1*	US-5,893,104	04-06-1999	Srinivasan et al.	
	AG1*	US-5,895,470	04-20-1999	Pirolli et al.	
	AH1*	US-5,897,639	04-27-1999	Greef et al.	
	AI1*	US-5,920,859	07-06-1999	Li	
	AJ1*	US-5,926,811	07-20-1999	Miller et al.	
	AK1*	US-5,940,821	08-17-1999	Wical	
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	AM1*	US-5,950,189	09-07-1999	Cohen et al.	
	AN1*	US-5,970,489	10-19-1999	Jacobson et al.	
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	AP1*	US-5,978,794	11-02-1999	Agrawal et al.	
	AQ1*	US-5,978,799	11-02-1999	Hirsch	
	AR1*	US-5,983,223	11-09-1999	Perlman	
HV	AS1*	US-5,986,655	11-16-1999	Chiu et al.	
Examiner Signature	/Hung Vy/			Date Considered	10/01/2006

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US1DOCS 5568779v1

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				First Named Inventor	Adam J. Ferrari
				Art Unit	2161
				Examiner Name	S. Metjahic
				Attorney Docket Number	0109878.00141US1
Sheet	2	of	3		

HV	AT1*	US-5,987,468	11-16-1999	Singh et al.	
	AU1*	US-5,987,470	11-16-1999	Meyers et al.	
	AV1*	US-5,991,756	11-23-1999	Wu	
	AW1	US-6,006,217	12-21-1999	Lumsden	
	AX1*	US-6,006,218	12-21-1999	Breese et al.	
	AY1*	US-6,006,222	12-21-1999	Culliss	
	AZ1*	US-6,006,225	12-21-1999	Bowman et al.	
	AA2*	US-6,009,424	12-28-1999	Lepage et al.	
	AB2*	US-6,012,006	01-04-2000	Ohneda et al.	
	AC2*	US-6,014,639	01-11-2000	Fohn et al.	
	AD2*	US-6,014,655	01-11-2000	Fujiwara et al.	
	AE2*	US-6,014,657	01-11-2000	Weida et al.	
	AF2*	US-6,014,665	01-11-2000	Culliss	
	AG2*	US-6,028,605	02-22-2000	Conrad et al.	
	AH2*	US-6,029,195	02-22-2000	Herz	
	AI2*	US-6,038,560	03-14-2000	Wical	
	AJ2*	US-6,038,574	03-14-2000	Pitkow et al.	
	AK2*	US-6,070,162	05-30-2000	Miyasaka et al.	
	AL2*	US-6,112,186	08-29-2000	Bergh et al.	
	AM2*	US-6,144,958	11-07-2000	Ortega et al.	
	AN2*	US-6,236,985	05-22-2001	Aggarwal et al.	
	AO2*	US-6,240,378	05-29-2001	Imanaka et al.	
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	AQ2*	US-6,243,713	06-05-2001	Nelson et al.	
	AR2*	US-6,263,334	07-17-2001	Fayyad et al.	
	AS2*	US-6,269,368	07-31-2001	Diamond	
	AT2*	US-6,272,507	08-07-2001	Pirolli et al.	
	AU2*	US-6,289,354	09-11-2001	Aggarwal et al.	
	AV2*	US-6,301,577	10-09-2001	Matsumoto et al.	
	AW2	US-6,317,741	11-13-2001	Burrows	
	AX2*	US-6,339,767	01-15-2002	Rivette et al.	
	AY2*	US-6,385,602	05-07-2002	Tso et al.	
	AZ2*	US-6,397,221	05-28-2002	Greef et al.	
	AA3*	US-6,446,068	09-03-2002	Kortge	
	AB3*	US-6,519,618	02-11-2003	Snyder	
	AC3*	US-6,611,825	08-26-2003	Billheimer et al.	
	AD3*	US-6,633,868	10-14-2003	Min et al.	
	AE3*	US-6,647,391	11-11-2003	Smith et al.	
	AF3*	US-6,697,801	02-24-2004	Eldredge et al.	
	AG3*	US-6,763,349-B1	07-13-2004	Sacco	
	AH3*	US-6,763,351	07-13-2004	Subramaniam et al.	
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	AJ3*	US-6,853,982	02-08-2005	Smith et al.	
	AK3	US-US-2002/0147703	10-10-2002	Yu, et al.	
HV	AL3	US-US-2002/0152204	10-17-2002	Ortega, et al.	

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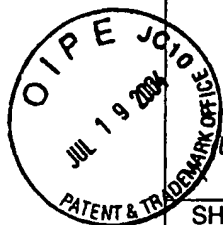
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ CITE NO.: Those application(s) which are marked with an single asterisk () next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ² Applicant's unique citation designation number (optional). ³ See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁷ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T ²
HV	CA	Amato, et al., "Region proximity in metric spaces and its use for approximate similarity search", ACM Trans. In. System, (2003), Vol. 21(2), pp. 192-227				
	CB	BERGSTROM, "A family of delphi components for case-based reasoning", Proceedings 11th IEEE International Conference on Chicago, (1999), pp. 153-160				
	CC	BEYER et al., "When is 'Nearest Neighbor' meaningful", Proceedings of the 7th International Conference on Database Theory", (1999)				
	CD	DIAMANTINI et al., "A conceptual indexing method for content-based retrieval", Database and Expert Systems Applications. Proceedings Tenth Workshop on Florence Italy, (1999), pp. 192-197				
	CE	GUHA et al., "ROCK: A robust clustering algorithm for categorical attributes", Data Engineering Proceedings 15th International Conference on Sidney, (1999), pp. 512-521				
	CF	GUTTMAN, "R-Trees: A dynamic index structure for spatial searching", Proceedings of the ACM SIG-MOD Conference, (1984)				
	CG	HAN-JOON, K. et al., "An effective document clustering method using user-adaptable distance metrics.", SAC, (2002), 16-20				
	CH	HINNEBURG et al., "What is the nearest neighbor in high dimensional spaces", Proceedings of the 26th VLDB Conference, (2000)				
	CI	HONGYAN JING, "Information retrieval based on context distance and morphology", Proceedings of the 22nd annual international ACM SIGIR conference on Research and Development in information retrieval, pp. 90-96, August 1999				
	CJ	MILLER et al., "DataWeb: Customizable Database Publishing for the Web" IEEE Multimedia, 4(4):14-21(1997)				
	CK	MILLER et al., "Integrating Hierarchical Navigation and Querying: A User Customizable Solution" ACM Multimedia Workshop on Effective Abstractions in Multimedia Layout, Presentation, and Interaction, San Francisco, CA, November (1995).				
	CL	PI-SHENG, "Using case-based reasoning for decision support", Proceedings of the 27th Annual Hawaii International Conference on System Sciences, (1994), pp. 552-561				
	CM	SALTON et al., "Term-weighting approaches in automatic test retrieval", Information Processing & Management, (1988), Vol. 24(5), pp. 513-523				
HV	CN	Yahoo 1996 (Exhibit 12-16)				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner Signature	/Hung Vy/	Date Considered	10/01/2006
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WILMER CUTLER PICKERING HALE AND DORR LLP SUBT. FORM PTO-1449				DOCKET NUMBER 109878.141	APPLICATION NUMBER 10/813,871
INFORMATION DISCLOSURE IN AN APPLICATION (USE SEVERAL SHEETS IF NECESSARY)				APPLICANT Ferrari et al.	
				FILING DATE March 31, 2004	GROUP ART UNIT 2163 2171
SHEET	1	OF	3		

U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
HV	5,418,948	5/1995	Turtle	395	600	
HV	5,644,740	7/1997	Kiuchi	395	357	
HV	5,715,444	2/1998	Danish et al.	395	604	
HV	5,768,578	2/1995	Kirk et al.	395	611	
HV	5,983,219	11/1999	Danish et al.	707	3	
HV	5,983,220	11/1999	Schmitt	707	5	
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HV	6,260,008	7/2001	Sanfilippo	704	9	
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HV	6,483,523	11/2002	Feng	345	745	

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
HV	0 694 829 A1	1/1996	EP				
HV	0 694 829 B1	5/2002	EP				

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)		
HV	A1	Agosti, M., et al., "Issues of Data Modelling in Information Retrieval," <i>Electronic Publishing</i> , Vol. 4 (4) pp. 219-237 (1991).
HV	A2	Allen, R.B., "Two Digital Library Interfaces That Exploit Hierarchical Structure," <i>Electronic Publishing</i> , (1995).
HV	A3	Allen, R.B., "Retrieval From Facet Spaces," <i>Electronic Publishing</i> , Vol. 8 (2&3), pp. 247-257 (1995).

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U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
HV	2001/0044758	11/2001	Talib et al.	705	27	
	2001/0044837	11/2001	Talib et al.	709	219	
	2001/0047353	11/2001	Talib et al.	707	3	
	2001/0049674	12/2001	Talib et al.	707	1	
	2001/0049677	12/2001	Talib et al.	707	3	
	2002/0091696	7/2002	Craft et al.	707	10	
HV	6,035,294	3/2000	Fish	707	2	

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
HV	WO 00/36529	6/2000	PCT				
HV	WO 01/67300	9/2001	PCT				

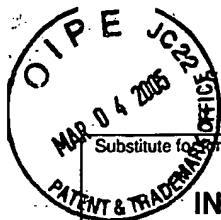
Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)		
HV	A4	Baeza-Yates, et al., "New Approaches to Information Management: Attribute-Centric Data Systems" <i>Proceedings Seventh International Symposium on String Processing and Information Retrieval</i> , pp. 17-27 (2000).
	A5	Hearst, M., et al., "Cat-a Cone: An Interactive Interface for Specifying Searches and Viewing Retrieval Results Using A Large Category Hierarchy," <i>Ann. Int. ACM-SIGIR Conf. On Res. And Dev. In Information Retrieval</i> , pp. 270-279 (1993).
	A6	Pedersen, G.S., "A Browser For Bibliographic Information Retrieval Based On An Application Of Lattice Theory," <i>Proc. of the Ann. Int. SCM SIGIR Conference on Res. And Dev. In Information Retrieval</i> , pp. 270-279 (1993).
	A7	Pollitt, et al., "HIBROWSE For Bibliographic Databases," <i>Journal of Information Science</i> , Vol. 20, (6), pp. 413-426 (1994).
HV	A8	Pollitt, et al., "View-Based Searching Systems- Progress Towards Effective Disintermediation,"

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		<i>Online Information Meeting Proceedings</i> , pp. 433-445 (1996).
HV	A9	Story, G. A., et al., "The RightPages Image-Based Electronic Library for Alerting and Browsing," <i>Computer</i> , Vol. 25(9), pp. 17-25 (1992).
HV	A10	Yahoo!, Copyright 1999 Yahoo! Inc., < http://web.archive.org/web/19991116151212/http://www.yahoo.com/ >
HV	A11	PriceSCAN.com, Your Unbiased Guide to the Lowest Price on Books, Computers, Electronic..., Copyright 1997-1999, < http://web.archive.org/web/19991117123352/http://www.pricescan.com/ >, pages 1-8

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First Named Inventor	Ferrari, et al.
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HV		4,879,648	11/7/1989	Cochran, et al.	
HV		5,206,949	4/27/1993	Cochran, et al.	
HV		5,768,581	6/16/1998	Cochran	
HV		6,345,273	2/5/2002	Cochran	
HV		6,453,315	9/17/2002	Weissman, et al.	
HV		US 2004/0117366 A1	6/17/2004	Ferrari, et al.	

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		Number-Kind Code ² (if known)			
HV		EP 0 597 630 A1	5/18/1994	Conquest Software, Inc.	
HV		WO 03/027902 A1	4/3/2003	Endeca Technologies, Inc.	

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WILMER CUTLER PICKERING HALE AND DORR LLP SUBT. FORM PTO-1449				DOCKET NUMBER 109878.141	APPLICATION NUMBER 10/813,871
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SHEET	1	OF	1		

U.S. Patent Documents						
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HV	6,360,227 B1	03/19/2002	Aggarwal et al.	707	102	01/29/1999
HV	6,571,282 B1	05/27/2003	Bowman-Amuah	709	219	08/31/1999

Foreign Patent Documents							
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HV	US2002/0083039	6/27/02	Ferrari, et al.	707	1	10/31/02
HV	US2002/0095405	7/18/02	Fujiwara	707	3	1/18/01
HV	6,226,745	5/1/01	Wiederhold	713	200	/
HV	6,466,918	10/15/02	Spiegel, et al	705	27	
HV	6,266,649	7/24/01	Linden, et al.	705	26	

Foreign Patent Documents							
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						YES	NO
HV	0 827 063 A1	3/4/98	EP				
HV	1 050 830 A2	11/8/00	EP				

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